

CONFLICT OF INTEREST CODE

GOLDEN STATE FINANCE AUTHORITY

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation, and the attached Appendices designating positions and establishing disclosure requirements, shall constitute the conflict-of-interest code of the **Golden State Finance Authority (Authority)**.

Officials and employees holding positions listed in this code must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

GOLDEN STATE FINANCE AUTHORITY

APPENDIX A

Designated Positions	Disclosure Category
Board Members, Alternates	1
General Counsel	1
Deputy General Counsel	1
Director of Operations	1
Consultants/New Positions	*

Note: The General Counsel, Deputy General Counsel, and Director of Operations positions are filled by staff of Rural County Representatives of California who act in a staff capacity for the Authority.

* Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the disclosure requirements in this code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant/new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code (Gov Code Sec. 81008).

Public Officials Who Manage Public Investments

The following positions are NOT covered by the conflict-of-interest code because they must file under Government Code Section 87200 and, therefore, are listed for informational purposes only:

- Executive Director
- Deputy Director
- Chief Financial Officer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200.

Note: The Executive Director, Deputy Director, and Chief Financial Officer positions are filled by staff of Rural County Representatives of California who act in a staff capacity for the Authority.

Appendix B

Disclosure Category 1

Real property held in the member counties. Investments and business positions in business entities and sources of income (including receipt of loans, gifts and travel payments) from the following:

- Sources that provide services or products to the Authority;
- Sources engaged in providing housing (single family, multi-family, commercial) financing, including but not limited to: bond companies, actuaries, financial institutions, and legal and marketing firms;
- Contractors and lenders that provide services through the Authority's programs; including mortgage and energy efficiency services;
- Sources that receive grants or other monies from the Authority.